



<b>MODULE 1: CONCEPTUALIZATION</b>	
<b>Humanitarian context</b>	<ul style="list-style-type: none"> <li>➤ Is the rationale of the project established within the context of IOM's Migration Crisis Operational Framework (MCOF)?</li> <li>➤ Are all mobility dimensions of the respective crisis situation well analyzed/understood?</li> <li>➤ Have the needs of displaced persons, migrants and affected communities been taken into consideration?</li> </ul>
<b>IOM's mandate</b>	<ul style="list-style-type: none"> <li>➤ Is sufficient consideration given to the interrelationship of IOM's humanitarian mandate and other aspects of IOM's mandate (transition/development/migration management)?</li> <li>➤ Does the concept recognize the fact that often there is no linear or predictable transition from emergency to development but that phases can exist simultaneously or that change can occur suddenly? Are safeguards put in place to address the transition gap?</li> </ul>
<b>Humanitarian accountability</b>	<ul style="list-style-type: none"> <li>➤ Are necessary accountability to affected populations (AAP) practices taken into consideration?</li> </ul>
<b>Humanitarian Principles</b>	<ul style="list-style-type: none"> <li>➤ Are Humanitarian Principles understood as means to meet humanitarian needs, secure access and operate in safety?</li> </ul>
<b>Humanitarian protection</b>	<ul style="list-style-type: none"> <li>➤ Does the project idea reflect IOM's protection role in humanitarian contexts?</li> </ul>
<b>Humanitarian practice</b>	<ul style="list-style-type: none"> <li>➤ Are the specific operating contexts for which IOM adopts a principled position to help determine its role reflected upon?</li> <li>➤ Does the concept recognize the fact that often there is no linear or predictable transition from emergency to development but that phases can exist simultaneously or that change can occur suddenly? Are safeguards put in place to address the transition gap?</li> </ul>
<b>MODULE 2: PROJECT DEVELOPMENT</b>	
<b>IOM's mandate</b>	<ul style="list-style-type: none"> <li>➤ Are there any longer-term transitional and developmental responses required?</li> </ul>
<b>Humanitarian accountability</b>	<ul style="list-style-type: none"> <li>➤ Is accountability ensured to affected populations, communities and the States concerned?</li> <li>➤ Are affected people given the information and influence to participate meaningfully in the project cycle (needs assessment, project design, implementation, M&amp;E)?</li> </ul>



<b>Humanitarian Principles</b>	<ul style="list-style-type: none"> <li>➤ Is the intervention planned in a way that makes no distinction on the basis of nationality, race, gender, religious belief, class or political opinion? Is the proposed humanitarian action needs-based (impartial)?</li> <li>➤ Does the project design ensure that staff and implementing partners understand how Humanitarian Principles are applied and how they can actively support their effective and consistent application?</li> <li>➤ Does the project outline how the Humanitarian Principles will help IOM to gain and maintain access to populations in need and operate in safety?</li> <li>➤ Is careful consideration given to how consistent application of the principles will help IOM to mitigate against the potential diversion and misappropriation of aid?</li> </ul>
<b>Humanitarian protection</b>	<ul style="list-style-type: none"> <li>➤ Does the project ensure that crisis-affected persons can better access their fundamental rights to protection and assistance by empowering them and supporting states?</li> <li>➤ Does the project foresee participation of marginalized groups?</li> </ul>
<b>Humanitarian partnership</b>	<ul style="list-style-type: none"> <li>➤ Does the project document outline effective coordination with humanitarian stakeholders / mechanisms?</li> <li>➤ Is adherence to Humanitarian Principles a selection criteria for local (implementing) partners?</li> </ul>
<b>Humanitarian practice</b>	<ul style="list-style-type: none"> <li>➤ Are there any longer-term transitional and developmental responses required?</li> </ul>
<b>MODULE 3: ENDORSEMENT</b>	
<b>IOM's mandate</b>	<ul style="list-style-type: none"> <li>➤ Does the project plan for or lay the foundations for the transition from emergency response to development orientated approaches at the early stage?</li> </ul>
<b>Humanitarian accountability</b>	<ul style="list-style-type: none"> <li>➤ Does the project document refer to IOM's Principles for Humanitarian Action (PHA)?</li> <li>➤ Does the project design provide for a mechanism to ensure accountability to persons and states concerned?</li> </ul>
<b>Humanitarian Principles</b>	<ul style="list-style-type: none"> <li>➤ Does the project document demonstrate how Humanitarian Principles will be respected in the given operational context?</li> </ul>
<b>Humanitarian partnership</b>	<ul style="list-style-type: none"> <li>➤ Does the project require implementing partners adhere to Humanitarian Principles (or demonstrate how the project will build their capacity if necessary)?</li> </ul>
<b>Humanitarian practice</b>	<ul style="list-style-type: none"> <li>➤ Does the project document demonstrate how Humanitarian Principles will be respected in the given operational context?</li> </ul>

	<ul style="list-style-type: none"> <li>➤ Does the project plan for or lay the foundations for the transition from emergency response to development orientated approaches at the early stage?</li> <li>➤ In response to protracted crises: Does the project foresee re-assessment of the vulnerabilities of affected persons with a view to reducing the risk of aid dependency and promoting their empowerment?</li> </ul>
<b>MODULE 4: PROJECT MANAGEMENT AND MONITORING</b>	
<b>Humanitarian accountability</b>	<ul style="list-style-type: none"> <li>➤ Does the project document the steps taken by IOM to survey the views of beneficiaries throughout project implementation?</li> <li>➤ Does the communication plan help to clarify how to manage perceptions by communicating who we are and what we do (with either direct or inferred reference to Humanitarian Principles)?</li> <li>➤ Are Humanitarian Principles integrated in messages and explained while discussing project implementation with stakeholders?</li> </ul>
<b>Humanitarian Principles</b>	<ul style="list-style-type: none"> <li>➤ Does the project implementation plan promote adherence to, and the effective operationalization of, Humanitarian Principles?</li> <li>➤ Are monitoring processes designed to ensure adherence to, and the effective operationalization of, Humanitarian Principles?</li> <li>➤ Staffing: does the composition of the project team aide the perception as a neutral and impartial organization (e.g. ethnically balanced)?</li> <li>➤ Does the internal coordination mechanism clarify the responsibility of different staff at local, regional and HQs levels with regard to decisions and actions that take account for Humanitarian Principles?</li> <li>➤ Is due consideration given to <i>ongoing</i> risk analysis with regards to principled humanitarian action?</li> <li>➤ Does the risk management plan include specific aspects of principled humanitarian action? <ul style="list-style-type: none"> <li>▪ If relevant, is due consideration given to Civil-military relations and coordination?</li> <li>▪ If relevant, is due consideration given to the impact of counter terrorism measures on principled humanitarian action?</li> </ul> </li> </ul>
<b>MODULE 5: DONOR REPORTING</b>	
<b>Humanitarian accountability</b>	<ul style="list-style-type: none"> <li>➤ Does the report demonstrate how IOM implemented and advocated for adherence to AAP commitments?</li> </ul>
<b>Humanitarian Principles</b>	<ul style="list-style-type: none"> <li>➤ Does the report include good practices and lessons learned on the practical application of Humanitarian Principles, especially in armed conflicts?</li> <li>➤ Does the report help to increase understanding of the perceived and actual challenges to principled humanitarian action in the given operational context</li> </ul>



	<p>while reaffirming the value of the principles?</p> <ul style="list-style-type: none"> <li>➤ Does the report elaborate on funding mechanism(s) that can best support principled humanitarian action?</li> <li>➤ Does the report demonstrate how the project strengthened the ability of staff to interpret and prioritize the principles, how they were used to guide principled decision making and consistent training and capacity building?</li> </ul>
<p><b>MODULE 6: EVALUATION</b></p> <p><i>NOTE: Humanitarian Principles are a key reference point in evaluating humanitarian action and they should also guide how an evaluation is carried out. If the evaluation is to more directly focus on the Humanitarian Principles (rather than addressing the principles implicitly under the OECD-DAC criteria) please contact DOE / Humanitarian Policy team.</i></p>	
<p><b>Humanitarian accountability</b></p>	<ul style="list-style-type: none"> <li>➤ Does the project ensure accountability to affected populations and communities (inter alia, through integrating mechanisms to solicit, receive and address feedback and complaints, and through harboring participatory and inclusive processes and interventions)?</li> </ul>
<p><b>Humanitarian protection</b></p>	<ul style="list-style-type: none"> <li>➤ Does the project proactively address/counter the risk of aid dependency and promote the empowerment of affected populations?</li> </ul>
<p><b>Humanitarian partnership</b></p>	<ul style="list-style-type: none"> <li>➤ To what extent is the project effectively coordinated with humanitarian stakeholders and mechanisms?</li> <li>➤ Is the due diligence process guided by existing standards? Does the project build implementing partners` capacity for principled humanitarian action, if needed?</li> </ul>
<p><b>Humanitarian practice</b></p>	<ul style="list-style-type: none"> <li>➤ Is the PHA used as a tool to assist contextualized decision making?</li> <li>➤ Is the PHA used to adopt a principled position and help determine IOM`s role (in the given operating context)?</li> </ul>